

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: ARNO HOHMANN ET AL.

SERIAL NO.: 09/926,191

FILED: 22 March 2000

FOR: DATA CARRIER WITH A BATTERY AND  
DISPLAY

GROUP ART UNIT: unassigned

EXAMINER: unassigned

ATTY. REFERENCE: HOHM3001/JEK

THE COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Sir:

The below identified communication(s) or document(s) is(are) submitted in the above application or proceeding:

- |  |   |
|--|---|
| <input checked="" type="checkbox"/> Declaration  | <input type="checkbox"/> Issue Fee Transmittal  |
| <input type="checkbox"/> Priority Document   | <input checked="" type="checkbox"/> Check in the Amount of <u>\$170.00</u>                          |
| <input type="checkbox"/> Formal Drawings   | <input checked="" type="checkbox"/> Assignment, including cover sheet and fee of \$40               |
| <input checked="" type="checkbox"/> Information Disclosure Statement<br>with cited refs. | <input checked="" type="checkbox"/> Copy of Notice to File Missing Parts, including fee of<br>\$130 |

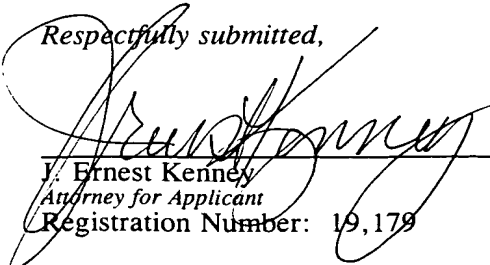
☒ Please debit or credit **Deposit Account Number 02-0200** for any deficiency or surplus in connection with this communication. A duplicate copy of this sheet is provided for use by the Deposit Account Branch.

☐

Customer 23364

BACON & THOMAS, PLLC  
625 SLATERS LANE - FOURTH FLOOR  
ALEXANDRIA, VIRGINIA 22314  
(703) 683-0500DATE: 28 January 2002

Respectfully submitted,

  
J. Ernest Kenney  
Attorney for Applicant

Registration Number: 19,179

## U.S. DEPARTMENT OF COMMERCE PATENT &amp; TRADEMARK OFFICE

B/O Form PTO-1390		Transmittal Letter to <b>United States</b> Designated/Elected Office (DO/EO/US) Concerning a Filing Under <b>35 USC 371</b>		Attorney/Agent Number HOHM3001/JEK	
International Application Number PCT/EP00/02544		International Filing Date 22 March 2000		U.S. Application Number (if known) <b>09/926191</b>	
Title of Invention DATA CARRIER WITH A BATTERY AND DISPLAY		Priority Date Claimed 23 March 1999			
Applicant(s) for DO/EO/US Arno HOHMANN et al.		Assignee			

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items under 35 USC 371:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 USC 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 USC 371.
3. ☒ This express request to begin national examination procedures (35 USC 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 USC 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed 35 USC 371(c)(2).
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 USC 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 USC 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 USC 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 USC 371(c)(4)). (☐ Executed ☒ Unexecuted)
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 USC 371(c)(5)).

Items 11 to 16 below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A **FIRST** preliminary amendment.
  - ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information: 1 sheet of formal drawing

Application Number (if Known) <b>09/926191</b>		International Application Number <b>PCT/EP00/02544</b>		Attorney's Docket Number <b>HOHM3001/JEK</b>	
				Calculations	PTO USE ONLY
17. The following fees are submitted: <b>Basic National Fee (37 CFR 1.492(a)(1)-(5)):</b> <input checked="" type="checkbox"/> Search report has been prepared by the EPO or JPO ..... \$860.00 <input type="checkbox"/> International Preliminary Examination Fee paid to USPTO (37 CFR 1.482) ..... \$690.00 <input type="checkbox"/> No International Preliminary Examination Fee paid to USPTO (37 CFR 1.482) but International Search Fee paid to USPTO (37 CFR 1.445(a)(2)) ..... \$710.00 <input type="checkbox"/> Neither International Preliminary Examination Fee (37 CFR 1.482) nor International Search Fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$1000.00 <input type="checkbox"/> International Preliminary Examination Fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00					
ENTER APPROPRIATE BASIC FEE AMOUNT				\$ 860.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).					
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	1 -20 =		× \$18.00		
Independent Claims	1 -3 =		× \$80.00		
Multiple Dependent Claims (if applicable)			+ \$270.00		
TOTAL OF ABOVE CALCULATIONS				\$ 860.00	
Reduction by ½ for filing by small entity, if applicable. Small Entity Status is asserted pursuant to 37 CFR 1.27 for this application.					
SUBTOTAL				\$ 860.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).					
TOTAL NATIONAL FEE				\$ 860.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property.					
TOTAL FEES ENCLOSED				\$ 860.00	
			Amount to be:	Refunded:	
				Charged:	

- a. ☒ A check in the amount of \$860.00 to cover the fees is enclosed.  
 b. ☐ Please charge my Deposit Account Number 02-0200 in the amount of \$\_\_\_\_\_ to cover the above fees.  
     A duplicate copy of this sheet is enclosed.  
 c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account Number 02-0200. A duplicate copy of this sheet is enclosed.

Note: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.



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 (703) 683-0500

DATE: 21 September 2001

Respectfully submitted,

*J. Ernest Kenney*  
 J. Ernest Kenney  
 Attorney for Applicant

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